

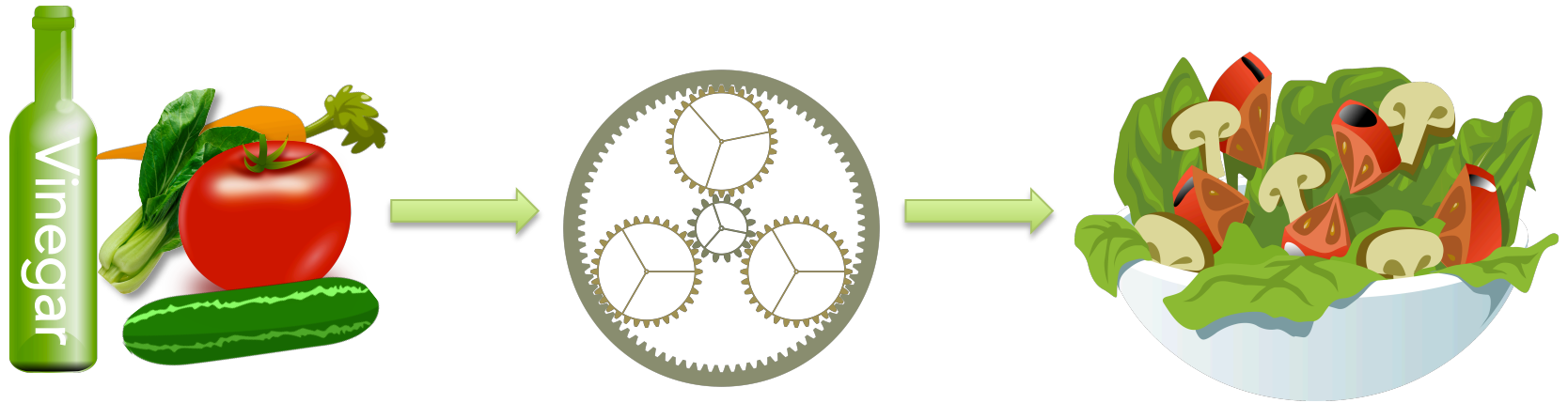
# Lecture 5

# Algorithm Analysis

Which data structure is good for the given problem?



# Algorithm



**Each data structure  
enables certain  
algorithms!**

How to characterize  
an algorithm?

# Some criteria

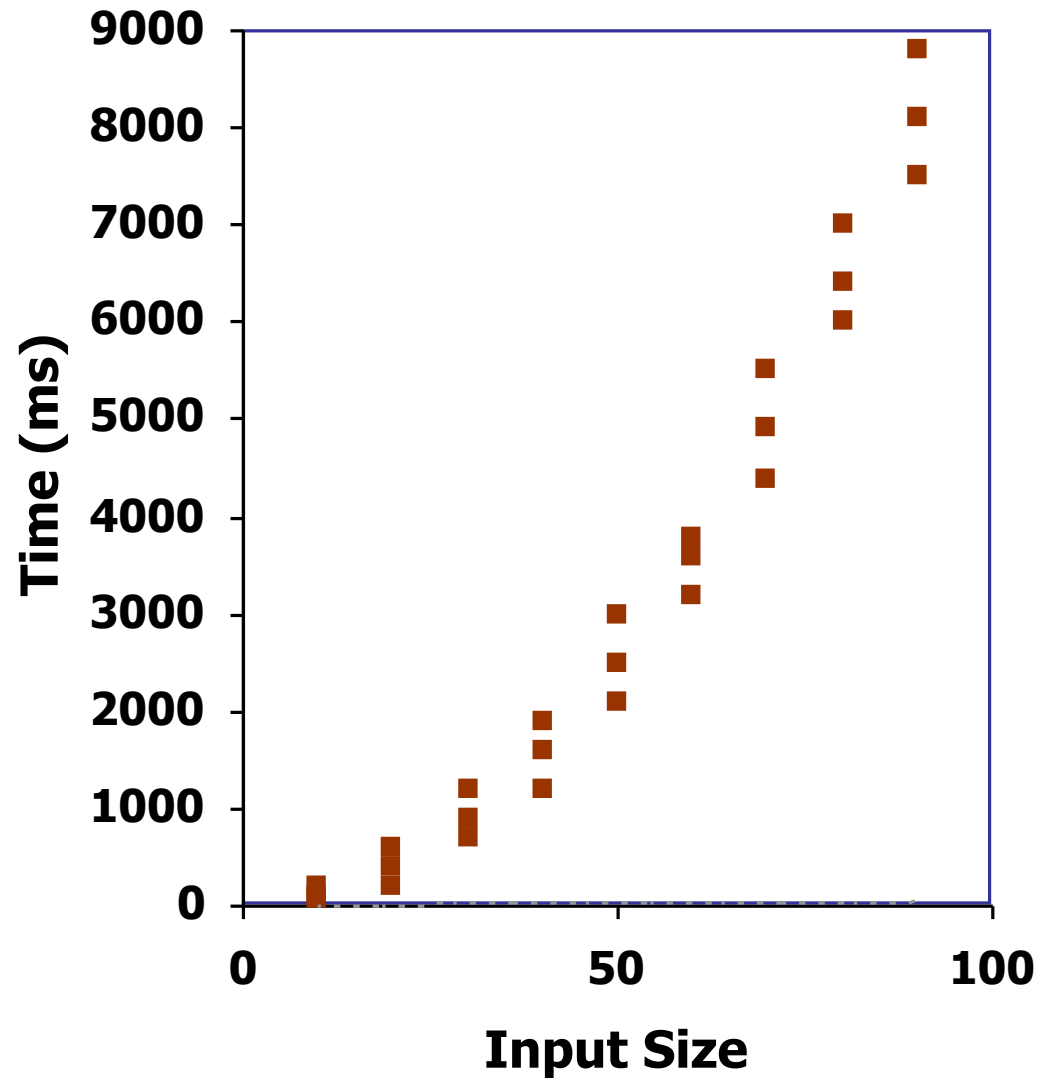
1. Correctness
2. Time efficient
3. Memory efficient
4. Coding efficient

How to measure the  
time efficiency of an  
algorithm?

# Empirical: Run and Record

- Implement the algorithm
- vary the input size
- use function like `clock()` to record
- plot input size vs time





# Limitations

- need to implement
- need time to run for all inputs
- same hardware and software environment required

# Theoretical Analysis

- only needs high level description
- platform independent
- no implementation required

# Pseudocode!

- High-level description of an algorithm
- More structured than English prose
- Less detailed than a program
- Preferred notation for describing algorithms
- Hides program design issues

Example: find max element of an array

Algorithm *arrayMax*(*A*, *n*)

Input: array *A* of *n* integers

Output: maximum element of *A*

*currentMax*  $\leftarrow$  *A*[0]

for *i*  $\leftarrow$  1 to *n* - 1 do

    if *A*[*i*] > *currentMax* then

*currentMax*  $\leftarrow$  *A*[*i*]

return *currentMax*

```
if condition then  
    true-actions  
else  
    false-actions
```

```
while condition do  
    actions
```

```
repeat  
    action  
until condition
```

# Primitive operations

- Basic computations, e.g. assignment, evaluating expression, array indexing
- call function /return from a function

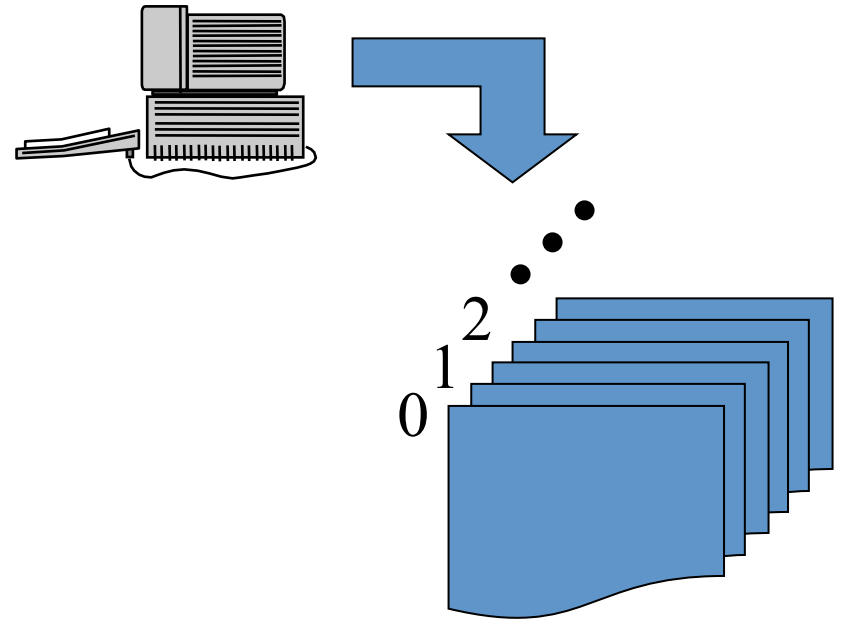
# Main Assumptions

- sequential execution
- primitive operations take fairly similar time to execute
- [RAM MODEL] accessing elements takes constant time



# Random Access Machine

- A CPU
- Unbounded memory
- Unit access time



# counting number of primitive operations

Algorithm <i>arrayMax</i> ( <i>A</i> , <i>n</i> )	# operations
<i>currentMax</i> ← <i>A</i> [0]	2
for <i>i</i> ← 1 to <i>n</i> - 1 do	$2n+2$
if <i>A</i> [ <i>i</i> ] > <i>currentMax</i> then	$2(n - 1)$
<i>currentMax</i> ← <i>A</i> [ <i>i</i> ]	0 to $2(n - 1)$
return <i>currentMax</i>	1

worst case =  $6n+1$       best case =  $4n+3$

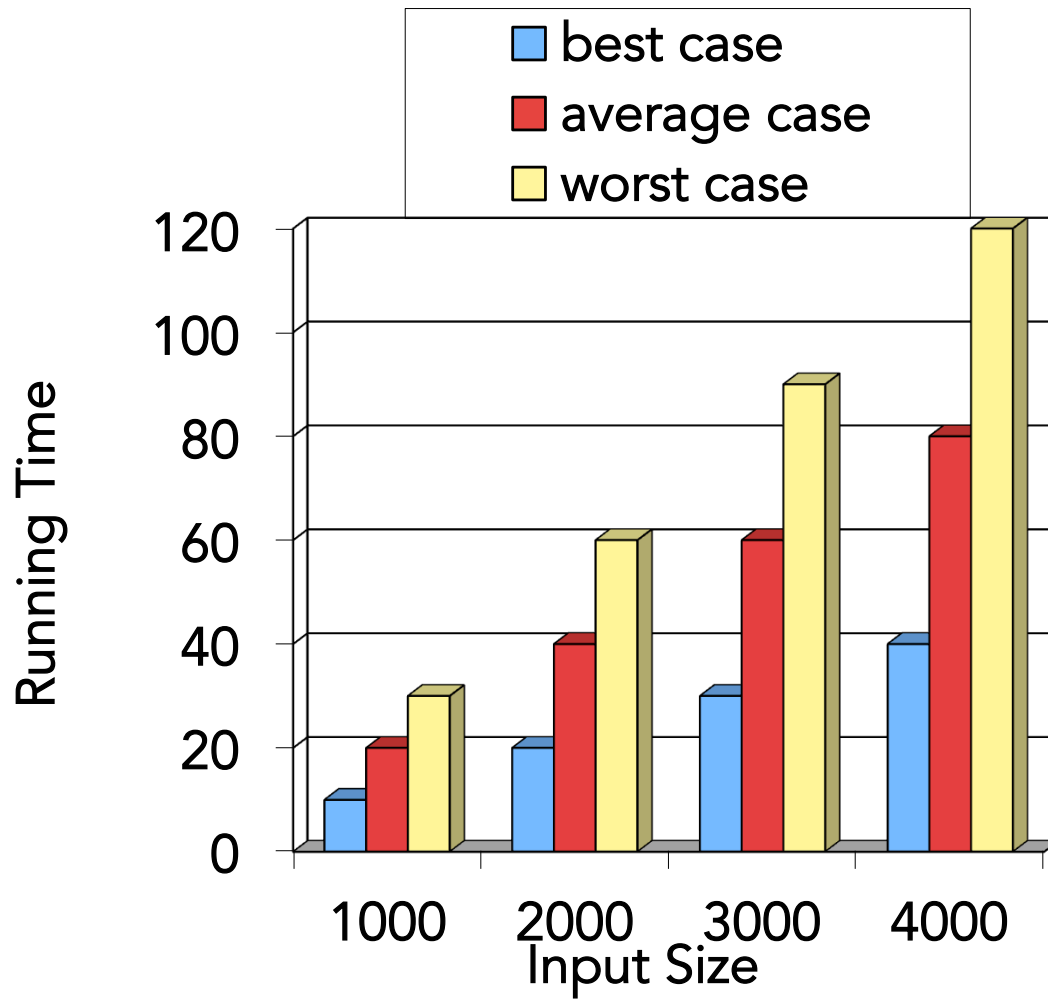
Worst case

Best case

$$T(n) = 6n + 1$$

$$T(n) = 4n + 3$$

What is the average case?



We will characterize  
running time in terms  
of worst case!

What is the worst case running time of insertion sort?

# Insertion Sort

Insert elements at right  
place one by one!



# Insertion Sort

1. for  $j=2$  to  $n$
2.    $key = A[j];$
3.   //Insert  $A[j]$  into sorted sequence  $A[1..j-1]$
4.    $i=j-1$
5.   while  $i > 0$  and  $A[i] > key$
6.      $A[i+1]=A[i]$
7.      $i=i-1;$
8.  $A[i+1] = key;$



# Which one takes longer?

```
void pushAll (int k){  
  for (int i=0; i<= 100*k; i++)  
  {  
    list.add(i);  
  }  
}
```

100K add operations

```
void pushAdd(int k) {  
  for (int i=0; i<= k; i++){  
    for (int j=0; j<= k; j++){  
      list.add(i+j);  
    }  
  }  
}
```

$K^2$  add operations

# Which grows faster?

$$f(k) = 100k$$

$$f(0) = 0$$

$$f(1) = 100$$

$$f(100) = 10^4$$

$$f(1000) = 10^5$$

$$f(k) = k^2$$

$$f(0) = 0$$

$$f(1) = 1$$

$$f(100) = 10^4$$

$$f(1000) = 10^6$$

Growth is more  
important than actual  
running time!

# Growth Rate of Running Time

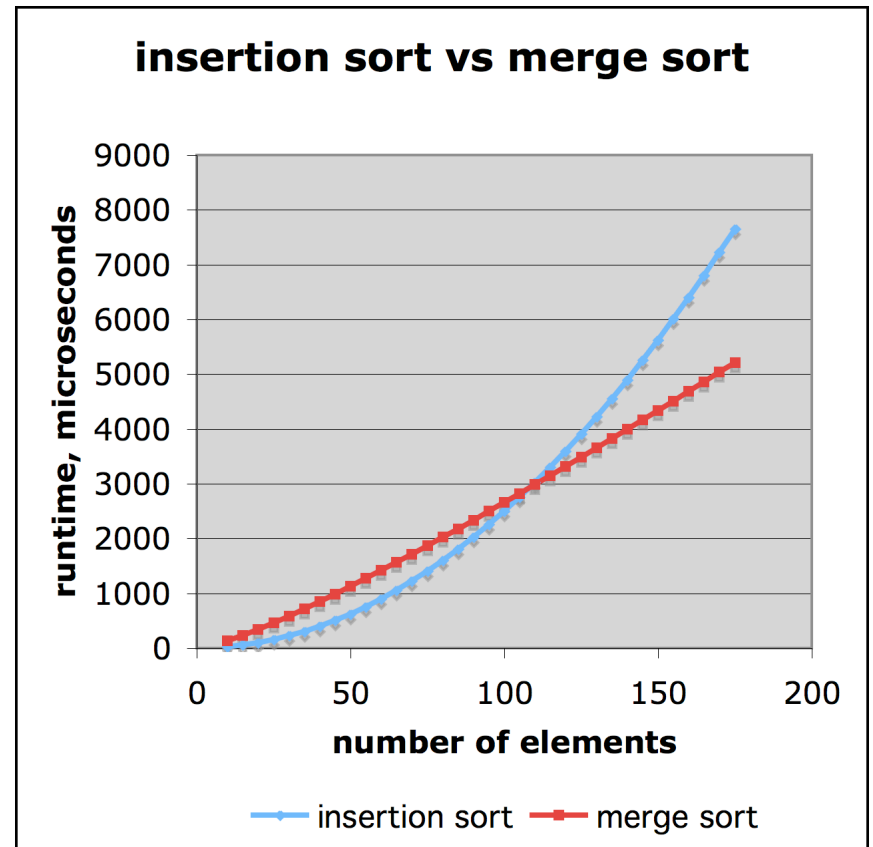
- hardware/ software environment
  - affects by a constant factor
  - does not alter the growth rate
- growth rate is an intrinsic property of algorithm

# Comparing Two Algorithms

To sort 1 million items:

-insertion sort ( $n^2/4$ )  
takes 70 hours

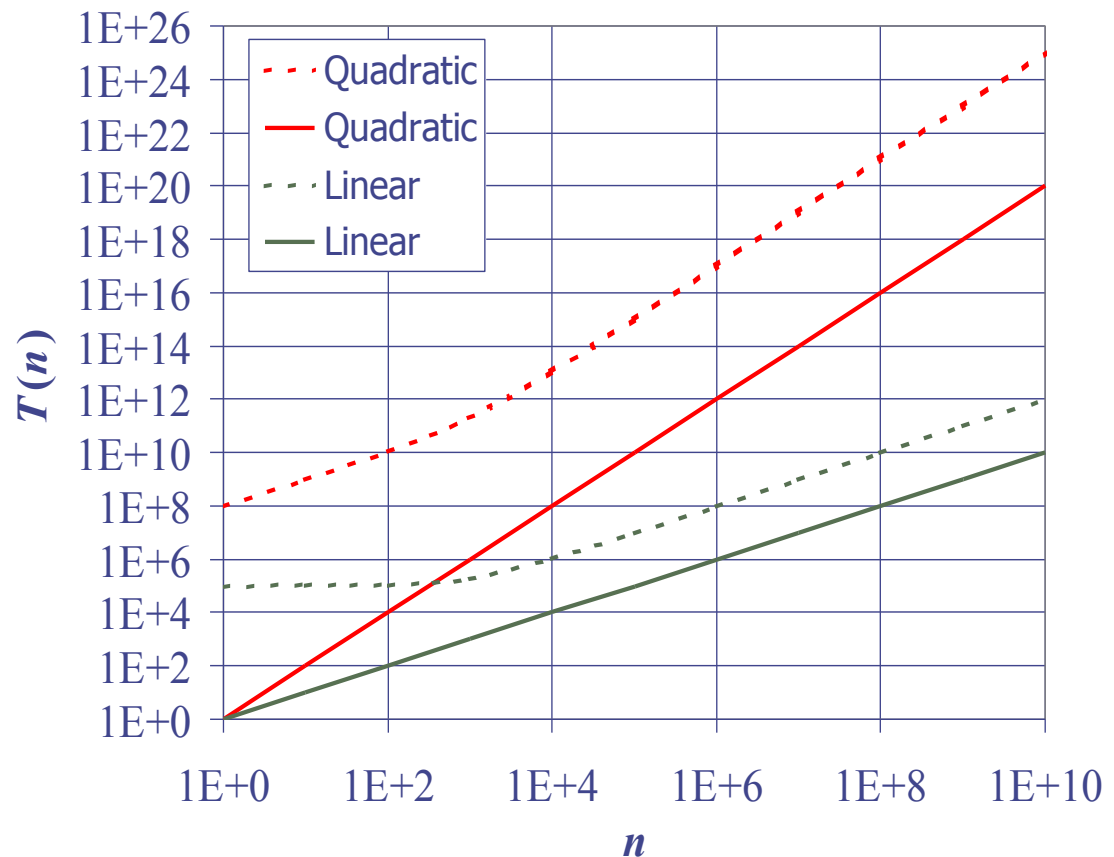
-merge sort ( $n \log n$ )  
takes 40 seconds



# Growth rate is not affected by constant or lower order terms!

□  $10^2n + 10^5$

□  $10^5n^2 + 10^8n$

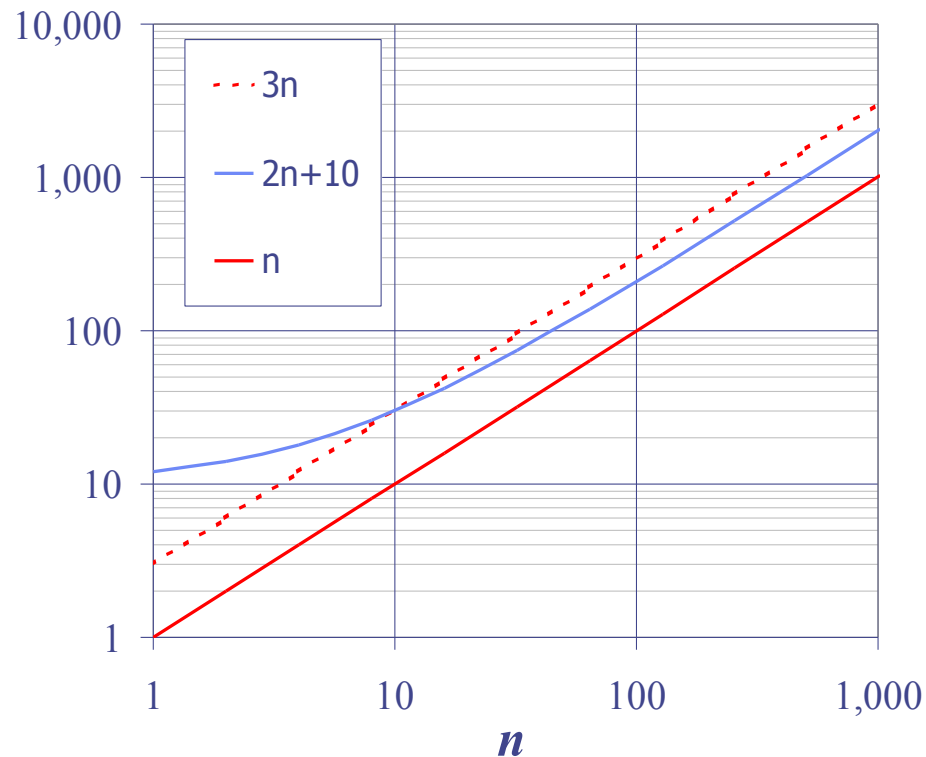


# Asymptotic Notation

Big-Oh

We say  $f(n)$  is  $O(g(n))$  if  $f(n) \leq cg(n)$   
for some  $c$  and  $n > n_0$ !

E.g.  $2n+10$  is  $O(n)$ , how?





Big-Oh notation allows us  
to ignore constant factors  
and lower order terms!

Look for simplest  
terms for expressing  
Big-Oh!

# Big-Oh Examples

- **$7n-2$  is  $O(n)$**

need  $c > 0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c \cdot n$  for  $n \geq n_0$

this is true for  $c = 7$  and  $n_0 = 1$

- **$3n^3 + 20n^2 + 5$  is  $O(n^3)$**

need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$

this is true for  $c = 4$  and  $n_0 = 21$

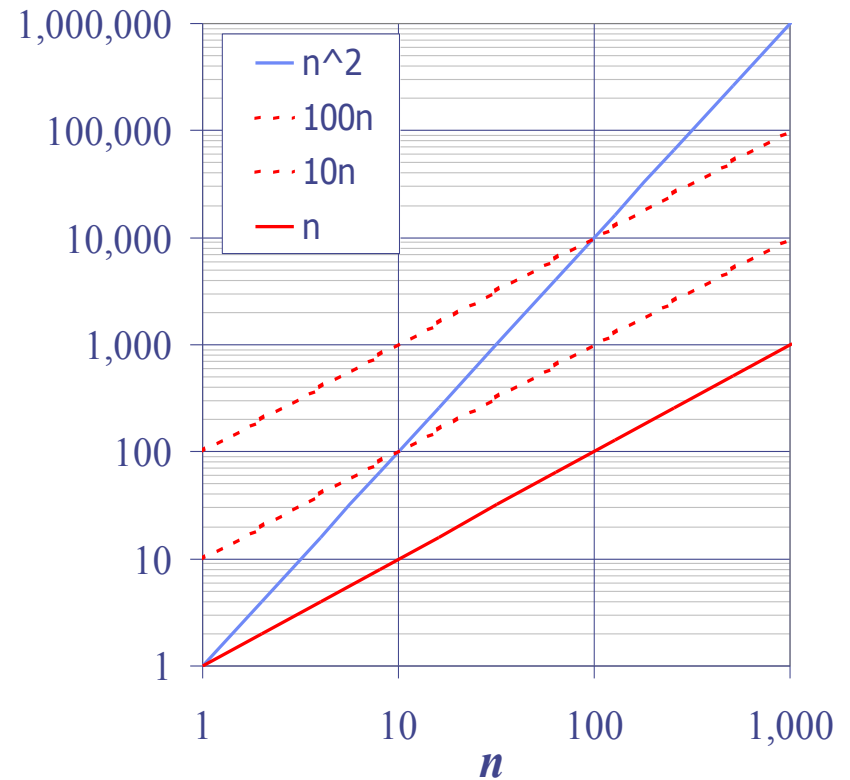
- **$3 \log n + 5$  is  $O(\log n)$**

need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \cdot \log n$  for  $n \geq n_0$

this is true for  $c = 8$  and  $n_0 = 2$

# Not Big-Oh Example

- ❑ the function  $n^2$  is not  $O(n)$
- ❑  $n^2 \leq cn$
- ❑ not possible



# Big-Oh and Growth Rate

- gives an upper bound
- $f(n)$  is  $O(g(n))$  tells  $f(n)$  does not grow faster than  $g(n)$
- Can be used to compare algorithms

Focus on the main factor  
that determines the  
growth rate!

Running time grows  
proportional to a  
"specific" function of  $n$   
within a constant factor!

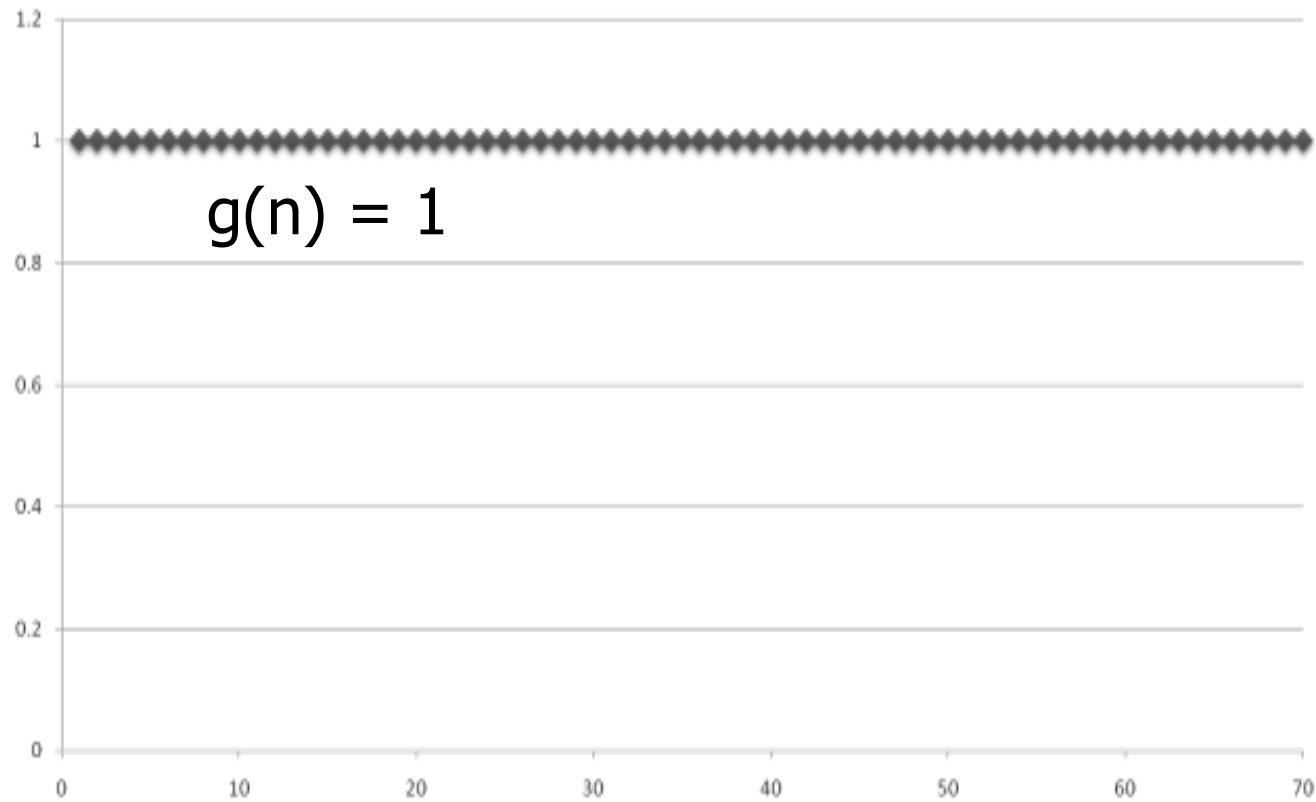
# The Seven Important Functions



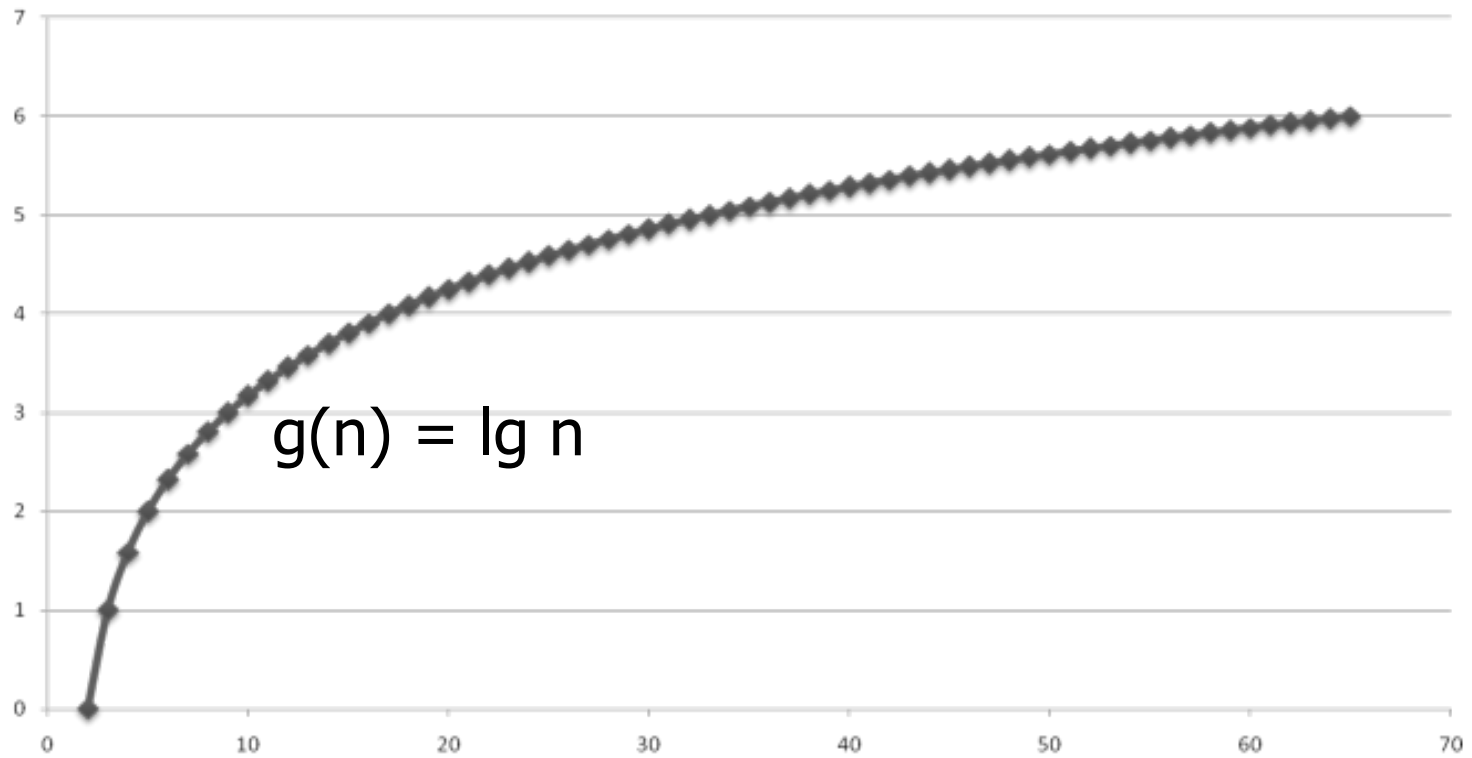
# Some Important Functions

- Constant  $\approx 1$
- Logarithmic  $\approx \log n$
- Linear  $\approx n$
- N-Log-N  $\approx n \log n$
- Quadratic  $\approx n^2$
- Cubic  $\approx n^3$
- Exponential  $\approx 2^n$

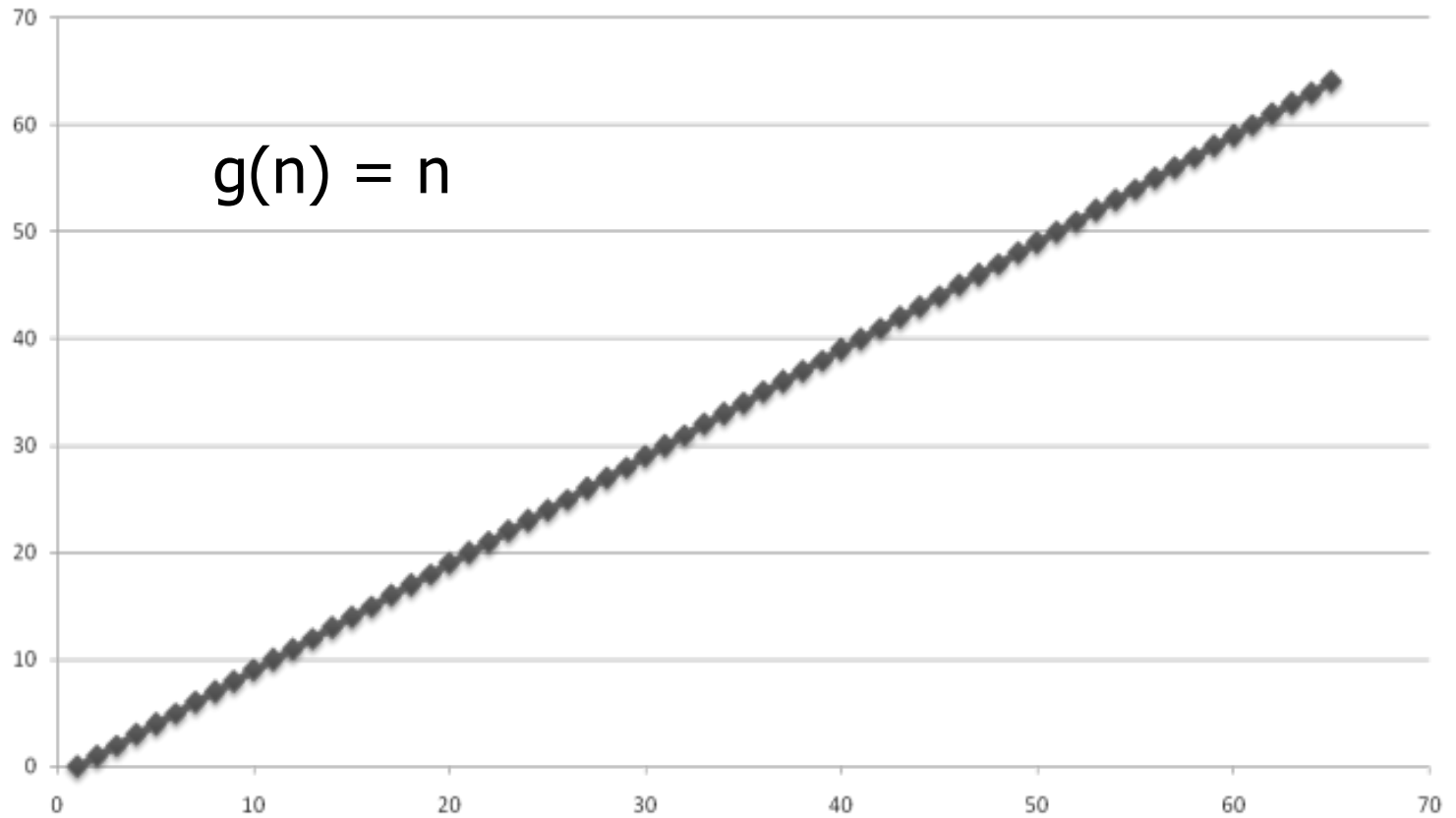
# Constant $\approx 1$



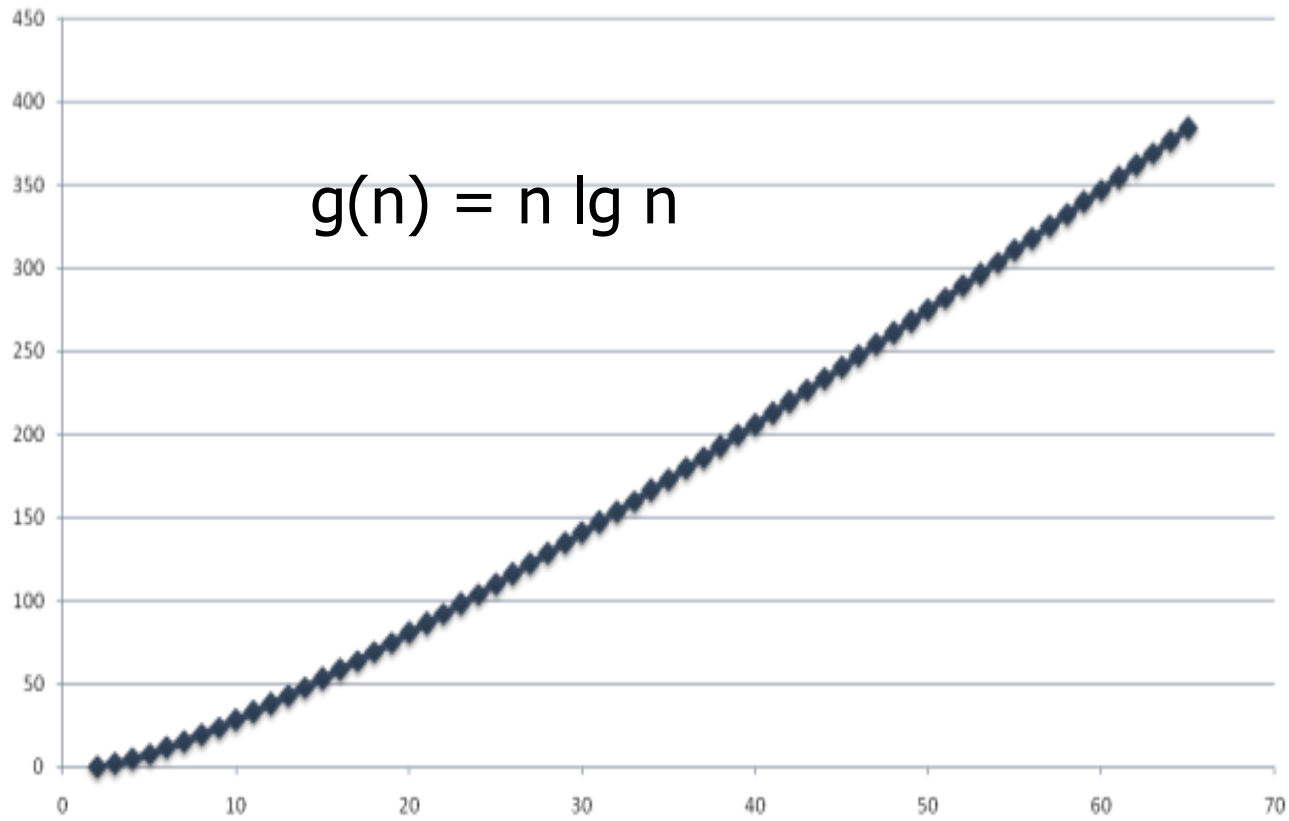
# Logarithmic $\approx$ $\log n$



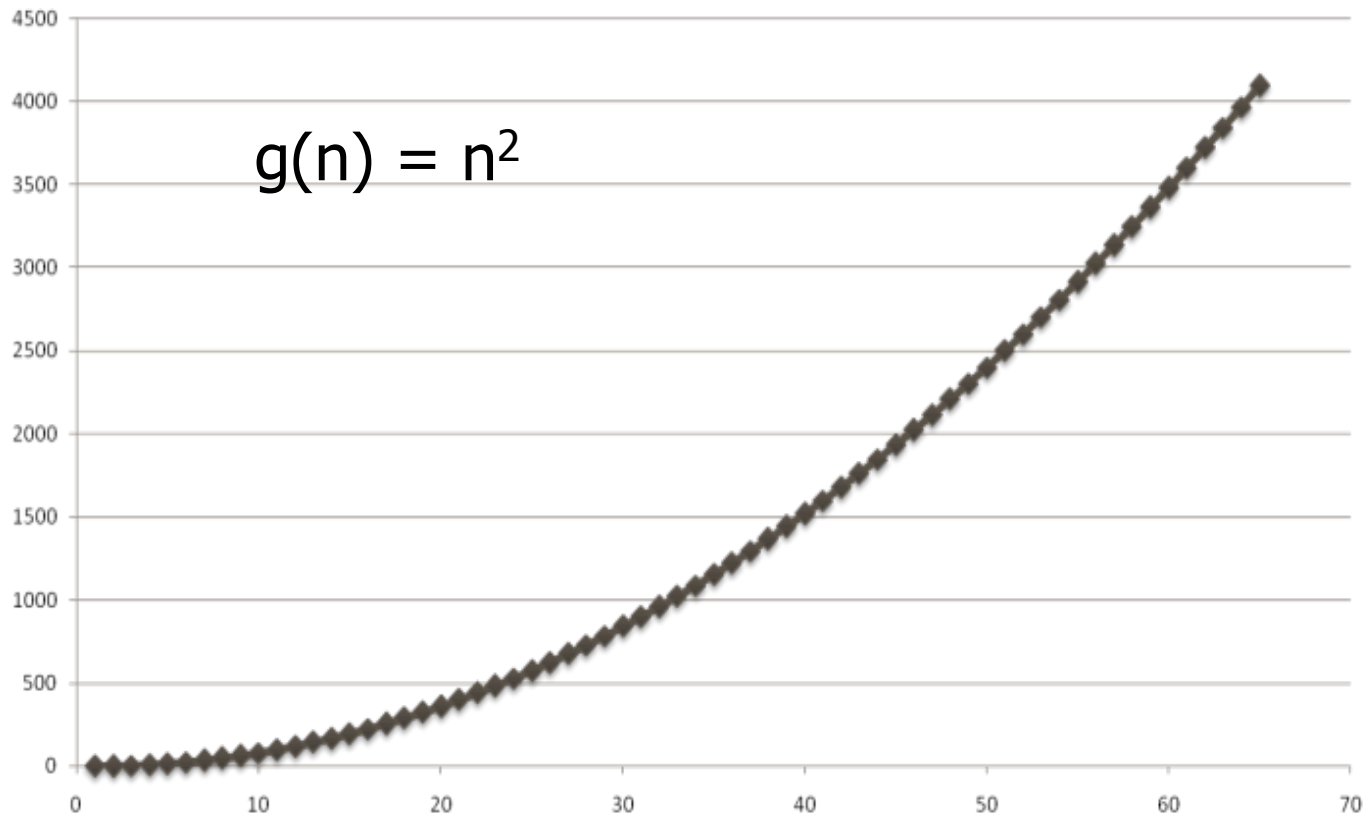
# Linear $\approx$ n



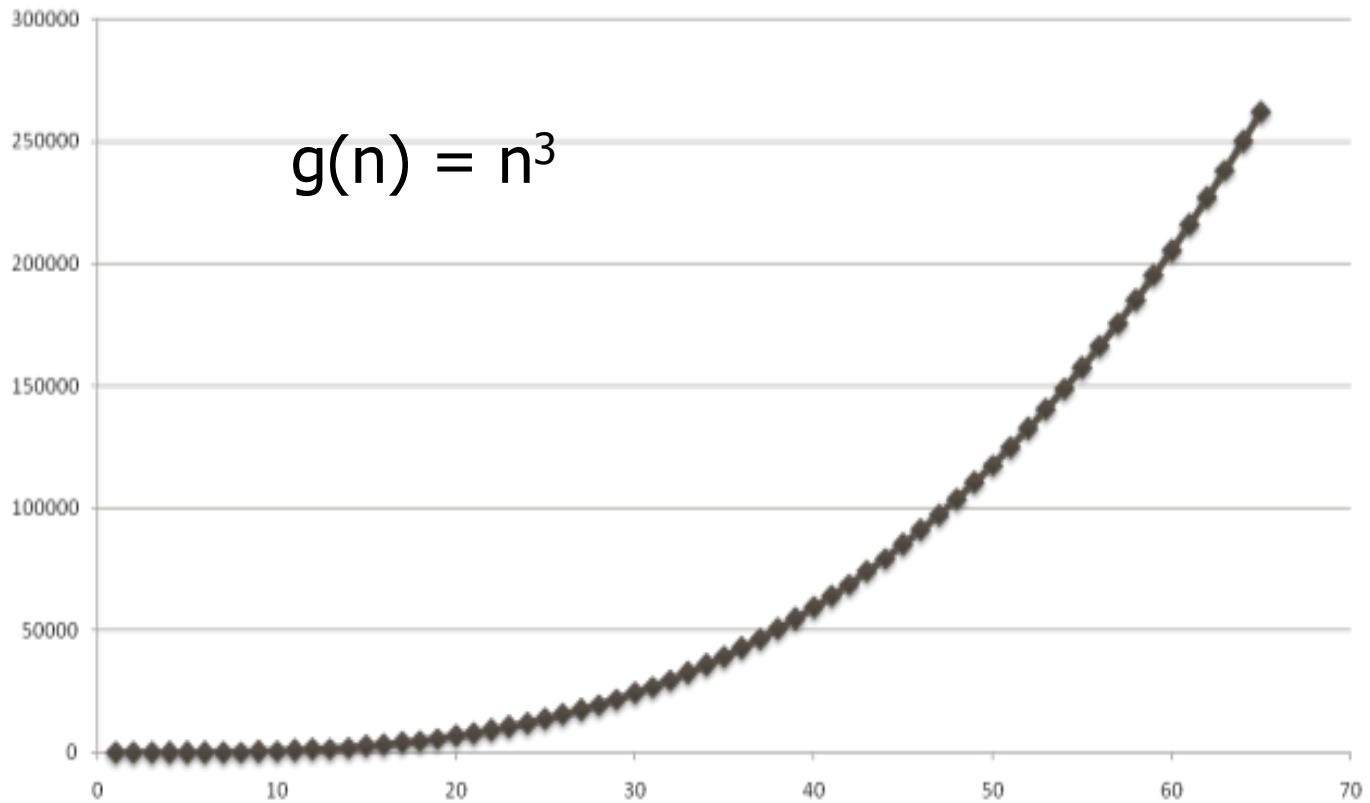
# $N\text{-Log-}N \approx n \log n$



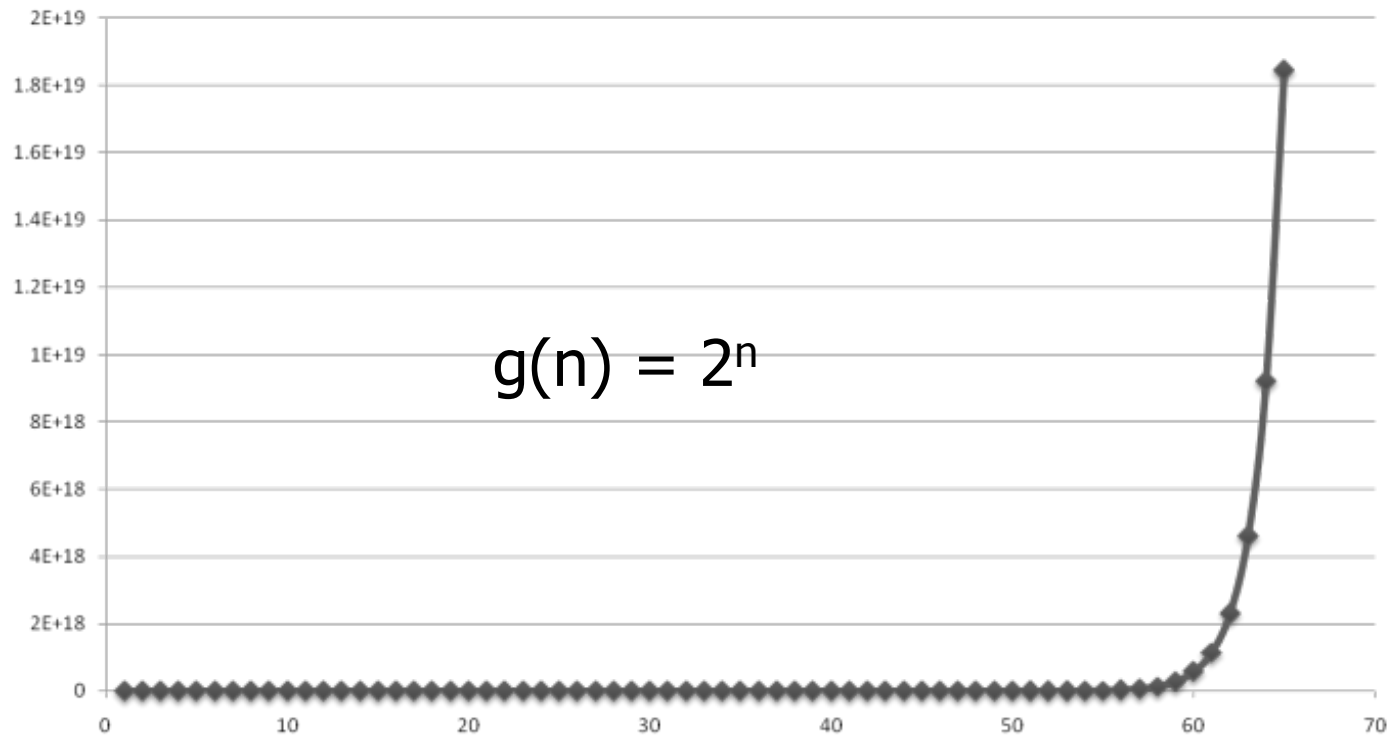
# Quadratic $\approx n^2$



# Cubic $\approx n^3$

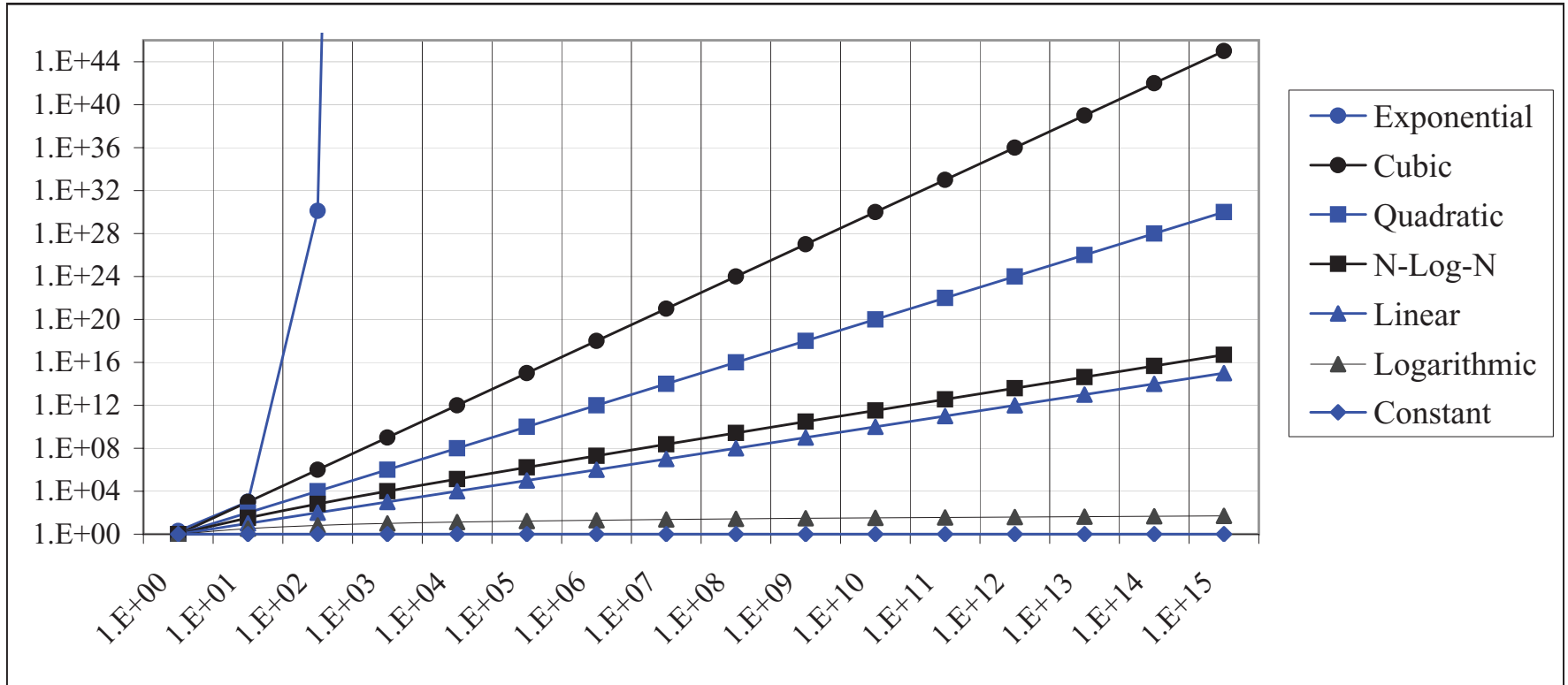


# Exponential $\approx 2^n$





# Growth rate on Log Scale



# Asymptotic Analysis

1. measure running time in terms of input
2. calculate Big-Oh of the function

# Example

- worst case running time of arrayMax
  - $f(n) = 6n+1$
- Big-Oh of  $f(n)$  if  $n$ , i.e.
  - $6n+1$  is  $O(n)$
- constant factors can be ignored while counting primitives itself

# Rules of thumb

# Polynomial Runtime

- Drop lower order terms
- Drop constants
- $f(n) = a_k n^k + a_{k-1} n^{k-1} \dots + a_0$   
–  $f(n)$  is  $O(n^k)$

# Loops

cost = (#iterations) × (#max cost of one iteration)

```
int sum (int A[], int n){  
    int total=0;  
    for (int i=0; i<= n; i++)  
    {  
        total=total+A[i];  
    }  
    return total;  
}
```

$O(n)$

# Nested Loops

cost = (#iterations) × (#max cost of one iteration)

n iterations



```
int sum (int A[][], int n){
  int total=0;
  for (int i=0; i<= n; i++)
  {
    for (int j=0; i<= n; j++)
      total=total+A[i][j];
  }
  return total;
}
```

$O(n^2)$



# Sequential Statements

cost = (#cost of first)+(#cost of second)

```
int sum (int A[], int B[], int n){  
    int totalA=0; int totalB=0;  
    for (int i=0; i<= n; i++){  
        totalA=totalA+A[i];  
    }  
    for (int j=0; j<= n; j++){  
        totalB=totalB+B[j];  
    }  
    return totalA+totalB;  
}
```

cost of most costly  
step matters, i.e.,  
 $O(n)$

# if/else

cost = max(cost of first, cost of second)

```
void sum (int A[], int n){
    int total=0;
    for (int i=0; i<= n; i++)
    {
        if (i%2==0)
            //first action
        else
            // second action
    }
}
```

$O(n * \max)$

Line between efficient  
and inefficient?